PA NT COOPERATION TREAT

	From the	INTERNATIONAL B	BUREAU
PCT	To:		
NOTIFICATION OF THE RECORDING	ľ		
OF A CHANGE	EODS	LIND Miklac	
		_UND, Niklas iacia & Upjohn AB	
(PCT Rule 92bis.1 and		87 Stockholm	
Administrative Instructions, Section 422)	SUÈD	E	
Date of mailing (day/month/year)	7		
18 April 2001 (18.04.01)	H		
	<u> </u>		
Applicant's or agent's file reference		IMPORTANT NOT	TIFICATION
1861-PCT/2			
International application No.	Internationa	al filing date (day/month/)	year)
PCT/EP00/02539	16 Ma	rch 2000 (16.03.00)	
The following indications appeared on record concerning: The applicant the inventor		[""] *\	
X the applicant the inventor	the agent	the comm	non representative
Name and Address		State of Nationality	State of Residence
PHARMACIA & UPJOHN GRONINGEN BV		NL.	NL
P.O. Box 901 NL-9700 AX Groningen		Telephone No.	
Netherlands			
		Facsimile No.	
	L	Teleprinter No.	
		releprinter ivo.	
2 7 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2			
2. The International Bureau hereby notifies the applicant that t	<u> </u>	¬ -	
the person X the name the add	dress	the nationality	the residence
Name and Address		State of Nationality	State of Residence
PHARMACIA GRONINGEN BV	L	NL	NL
P.O. Box 901 NL-9700 AX Groningen		Telephone No.	
Netherlands			
		Facsimile No.	
		Teleprinter No.	
		releprinter No.	
	l_		
3. Further observations, if necessary:			
4. A copy of this notification has been sent to:			
	Γ	1	
X the receiving Office		the designated Offices	
the International Searching Authority	<u> X</u>	the elected Offices cor	ncerned
X the International Preliminary Examining Authority		other:	
	ع - المحاد ما المديد A	finar	
The International Bureau of WIPO	Authorized of		
34, chemin des Colombettes 1211 Geneva 20, Switzerland		C. Cupello	
Facsimile No.: (41-22) 740.14.35	Telephone No	o.: (41-22) 338.83.38	

hood

TENT COOPERATION TRE

PCT

REC'D	20	MAR	2001
WIPO			PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

14

Applicant's	or age	ent's file reference	T	Con Matifi	cation of Transmittal of International		
1861-PC	_		FOR FURTHER ACTION		y Examination Report (Form PCT/IPEA/416)		
Internation	al appl	ication No.	International filing date (day/mon	th/year)	Priority date (day/month/year)		
PCT/EP	00/02	539	16/03/2000		16/03/1999		
Internation C08F8/0		ent Classification (IPC) or na	tional classification and IPC				
1 ''	ACIA	& UPJOHN GRONING	SEN BV et al.				
		ational preliminary exami smitted to the applicant a		ed by this Int	ernational Preliminary Examining Authority		
2. This I	REPO	PRT consists of a total of	4 sheets, including this cover	sheet.			
) b	☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).						
These	e ann	exes consist of a total of	sheets.				
3. This	eport	contains indications rela	ting to the following items:				
ı	\boxtimes	Basis of the report		-			
11		Priority					
III		Non-establishment of o	pinion with regard to novelty, ir	ventive step	and industrial applicability		
IV		Lack of unity of invention	on .				
V	⊠		nder Article 35(2) with regard to ons suporting such statement	novelty, inv	entive step or industrial applicability;		
VI		Certain documents cite	ed				
VII		Certain defects in the in	ternational application				
VIII		Certain observations or	the international application				
	_		·				
Date of sub	missio	n of the demand	Date o	completion of	f this report		
08/10/20	08/10/2000 15. 03. 01						
		address of the international ning authority:	Author	zed officer	SECONES MICHIGAN		
<i>)</i>))	D-80	pean Patent Office 1298 Munich 149 89 2399 - 0 Tv: 523656	Boon	en, J	(Law on the Carlot		
Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465 Telephone No. +49 89 2399 8513							

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/02539

I. Basis o	i the re	port
------------	----------	------

1.	res _i the	ponse to an invitation		rred to in this repo	rt as "originally file	hed to the receiving Office : ed" and are not annexed to
	1-2	4	as originally filed			
	Cla	ims, No.:				
	5 (p	oart),6-32	as originally filed			
	1-4,	,5 (part)	as received on	26/04/2000	with letter of	25/04/2000
2.			juage, all the elements ma international application wa			
	The	se elements were a	available or furnished to this	s Authority in the fo	ollowing language:	: , which is:
		the language of a	translation furnished for the	purposes of the in	nternational searc	h (under Rule 23.1(b)).
		the language of pu	ublication of the international	al application (unde	er Rule 48.3(b)).	
		the language of a 55.2 and/or 55.3).	translation furnished for the	e purposes of inter	national prelimina	ry examination (under Rule
3.			eleotide and/or amino acid y examination was carried			
		contained in the in	ternational application in w	ritten form.		
		filed together with	the international application	n in computer read	able form.	
		furnished subsequ	ently to this Authority in wr	itten form.		
		furnished subsequ	ently to this Authority in co	mputer readable fo	orm.	
			t the subsequently furnishe pplication as filed has beer		e listing does not (go beyond the disclosure in
		The statement that listing has been fu	t the information recorded i rnished.	in computer readat	ole form is identica	al to the written sequence
4.	The	amendments have	resulted in the cancellatio	n of:		
		the description,	pages:			
		the claims,	Nos.:			
		the drawings,	sheets:			

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/EP00/02539

5. 🗆	This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):
	(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims

No:

Claims 1-32

Inventive step (IS)

Yes:

Claims

No: Claims 1-32

Industrial applicability (IA)

Yes: Claims 1-32

No: Claims

2. Citations and explanations see separate sheet

EXAMINATION REPORT - SEPARATE SHEET

Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. The present claims 1 to 32 are not novel contrary to the requirements of Article 33(2) PCT.
 - Document D1 WO-A-9 908 135 discloses (loc. cited in the Search Report) a composition which is cured using benzoylphosphine oxide photoinitiator.
 - The composition can be made of polyethylene oxide mono- and dimethacrylates and acrylates.
- Also document D2 JOURNAL OF APPLIED POLYMER SCIENCE (loc. cited in the 2. Search Report) discloses the use of benzoyldiphenylphosphinoxide for curing.
- The present claims 1 to 32 do also not show the presence of inventive step as 3. required by Article 33(3) PCT.
 - Documents D1 and D2 demonstrate that benzoylphosphine oxide can be used for curing substituted ethylene polymers.

25

Claims

- 1. Macromolecular photocrosslinkers having a general formula
- 5 $(A)_n(B)_m(C)_p$, wherein
 - (i) A, B and C are units of substituted ethylene or siloxane groups in the macromolecular structure;
 - (ii) C carries a photoactive groups;
- 10 (iii) n = 0.98 mole %, m = 0.98 mole %, n+m = 50.98 mole % and p = 0.5.50 mole %;

and when said photoactive groups are exposed to light of determined wavelengths above 305 nm, radicals are generated and retained on the macromolecular photocrosslinkers and reacting so as to accomplish a crosslinked network structure.

- 2. Photocrosslinkers according to claim 1 characterized in that said photoactive group comprises a phosphine oxide.
- 3. Photocrosslinkers according to claim 2 characterized in that the photoactive group is an acyl- or aroyl phosphine oxide.
 - 4. Photocrosslinkers according to claim 3 characterized in that the photoactive group is linked to the ethylene groups of units C by a linking group comprising a phenylene group, said phenylene group being optionally substituted.
 - 5. Photocrosslinkers according to claim 1, wherein the ethylene units A, B, C of the macromolecular structure comprises substituents in accordance with:

$$A = -CH_2 - C(R^1R^2) -, B = -CH_2 - C(R^1R^3) -, C = -CH_2 - C(R^1R^4) -, wherein$$

30

25

15

CORRECTED VERSION

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 21 September 2000 (21.09.2000)

PCT

(10) International Publication Number WO 00/55212 A1

BA, BB, BG, BR, BY, CA. CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU,

LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA,

(81) Designated States (national): AE, AL, AM, AT, AU, AZ,

(51) International Patent Classification⁷: C08G 77/38, G02B 1/04

C08F 8/00,

(21) International Application Number: PCT/EP00/02539

(22) International Filing Date: 16 March 2000 (16.03.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 9900935-9

16 March 1999 (16.03.1999) S

- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant (for all designated States except US): PHAR-MACIA GRONINGEN BV [NL/NL]; P.O. Box 901, NL-9700 AX Groningen (NL).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): HODD, Kenneth, A. [GB/GB]; 5 Rackery Hall Mews, Rackery Lane, Caer-Estyn, Wrexham LL12 0PB (GB). DILLINGHAM, Keith, Alfred [GB/NL]; Verlengde Grachtstraat, NL-9717 GG Groningen (NL).
- (74) Agents: FORSLUND, Niklas et al.; Pharmacia & Upjohn AB, S-112 87 Stockholm (SE).

Published:

with international search report

UG, US, UZ, VN, YU, ZA, ZW.

(48) Date of publication of this corrected version:

20 September 2001

(15) Information about Correction:

see PCT Gazette No. 38/2001 of 20 September 2001, Section II

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: MACROMOLECULAR COMPOUNDS

(57) Abstract: The invention relates to macromolecular photocrosslinkers having polymeric backbones of substituted ethylene or substituted siloxane groups carrying photoactive groups. The photocrosslinkers are capable of producing, when being exposed to light of determined wavelengths above 305 nm, radicals which are retained on the macromoleculecular photocrosslinkers and reacting so as to accomplish a crosslinked network structure. The invention further relates to the use of the photocrosslinkers in different systems and their utility in production of medical devices including ophthalmic lenses.





WO 00/55212 PCT/EP00/02539

Macromolecular compounds

Field of invention

5

The present invention relates to new photoinitiators capable of acting as photocrosslinkers providing a combination of photoinitiating and crosslinking processes.

Background of invention

10

15

20

25

30

The UV curing of resin formulations is widely used in industry as the setting process for coatings, adhesives, and more recently paints. Such formulations may comprise a combination of vinyl, usually acrylate, monomers and crosslinkers, together with a photoinitiator. Other possible constituents of the formulations include crosslinkers and vehicles. In general an advantage of photocurable formulations is that the monomers act as their own vehicle, and the use of solvent is obviated, which has environmental advantages.

Advances in the technology of photocuring, improvements such as, those in UV lamps, cationic initiators for epoxide-based formulations, water borne coatings, and many novel monomers has enabled this production process to penetrate a number of important manufacturing sectors. Photopolymerization is now used in photoresists for printed circuits and microelectronics, for photolithography, magnetic recording media, glass-fiber laminates, and for medical devices, especially for dental and ophthalmic applications.

For the medical applications of photopolymerisation it is usual to employ visible light, rather than UV, to effect the cure of the resin formulation. The use of visible, usually blue, light avoids exposing patient and dentist or surgeon to harmful irradiation. Increasingly the merit of this approach is being recognized for industrial practice, where operatives also need protection from prolonged exposure to harmful UV.

European Patent 0800 657 describes a photoinitiator linked to a macromer structure which together with a copolymerizable monomer and a crosslinker is capable forming a polymerization product, such as an ophthalmic lens that retains photoinitiator radical in

WO 00/55212 PCT/EP00/02539

the resulting network. This is advantageous in medical applications wherein such potentially harmful radicals must be carefully controlled. However, this system would not be applicable for producing a polymerized product directly in the capsular bag in the eye since it is not directed to photoinitiators activated by light in the visible range. US Patent No. 4,536,265 discloses siloxane polyphotoinitiators to be used with a curable silicone resin. This system is UV curable and consequently it will not be applicable for photocuring in the living eye.

5

10

15

20

25

30

It is a characteristic of almost all, if not all, of the formulations used for aforementioned types of application that they are crosslinked. Crosslinking of the polymeric bases which constitute the coatings or artifacts of the aforementioned industrial products confers important advantages upon them. Crosslinked polymers have greater environmental (e.g. temperature and moisture) resistance, solvent resistance and dimensional and mechanical stability, than equivalent linear polymers. This is especially so for where the equivalent linear polymer are produced by photopolymerisation they have an atactic, non-crystalline, structure.

Crosslinking is introduced into photopolymerized products by including in the formulation for the resin, coating or gelling system, an acrylate, or similar, crosslinker, which is characterized by having two or more crosslinkable acrylate or vinyl functions. In some formulations this crosslinking species is a polymer of low molecular weight. The crosslinker copolymerizes with the monomers of the formulation to produce a network structure.

It is the object of the present invention provide compounds which act as photocrosslinkers for vinyl, acrylate and methacrylate monomers and acrylated silicone compositions, especially in solution.

It is also an important object of the present invention to provide photocrosslinkers with capability to act in aqueous solutions, especially on water soluble macromolecular particles having functional groups for crosslinking.

It is another object of the present invention to provide photocrosslinkers with enhanced photoactivity (100 % conversion of monomer to polymer in aqueous solution) which reduces photoinitiator residues to a minimum, especially, vinyl modification of

photoinitiator component and thereby reducing compositional drift, Draize and other environmental hazards.

The invention as presented below will explain how the mentioned objects are met while discussing further obvious advantages.

5

Description of the invention

The present invention pertains to macromolecular hydrophilic photocrosslinkers having a general formula $(A)_n(B)_m(C)_p$, wherein

10

15

20

25

30

- (i) A, B and C are units of substituted ethylene or siloxane groups in the macromolecular structure;
- (ii) A, B and C are randomly distributed and the unit C carries a photoactive group;
- (iii) n = 0.98 mole %, m = 0.98 mole %, n+m = 50.98 mole % and p = 0.5-50 mole %.

When the photoactive groups of units C are exposed to light of determined wavelengths above 305 nm, radicals are generated which are retained on the macromolecular photocrosslinkers and will react to form a crosslinked network structure. Preferably the final structure is solid article.

The photocrosslinker further preferably further comprises functional groups for crosslinking. Such groups are conventionally vinylic, acrylic or methacrylic groups and their nature and introduction on polymeric backbone are well known to persons skilled in the art and will be referred to as "functional groups for crosslinking".

According to one aspect of the invention a fluid composition of the photocrosslinker in a suitable amount can be directly crosslinked into the final solid product upon sufficient irradiation. In another aspect the composition for crosslinking into a solid article comprises suitable amounts of the photocrosslinker and a polymer carrying functional groups for crosslinking. The photocrosslinker in such a system will thereby replace the conventional combination of crosslinker and photoinitiator.

Applicable polymers with suitable functional can readily be provided with the skilled person for the purpose of crosslinking desired articles. For example it would be

conceivable to employ polymers having a sufficiently high refractive index to be acceptable as intraocular lenses. Suitable polymers can be, for example, be found in International Patent Application PCT/EP99/07718. In a still another aspect of the present invention, the photocrosslinkers can be employed in a composition, preferably an aqueous composition further comprising at least one copolymerizable vinylic, acrylic or methacrylic monomer. Such monomers and combinations thereof are well known in the art and will not be described herein in further detail. It is, however, to be understood that the photocrosslinker will replace conventional crosslinking agents and their combination with photoinitiators in such systems.

It is highly preferred that the photoactive groups of the photocrosslinkers comprise a phosphine oxide, in order to generate the necessary radicals for crosslinking from the exposure of visible light. More preferably, the photoactive group is an acyl- or aroyl phosphine oxide.

According to a preferred aspect, the photoactive group is linked to the ethylene groups of units C of the photocrosslinkers by a linking group comprising a phenylene group. Optionally, such a phenylene group is substituted in order to obtain more stability.

According to one embodiment of the invention, the photocrosslinkers comprises substituted ethylene units A, B, C of a macromolecular photocrosslinker in according to:

R¹ is hydrogen or methyl;

5

10

15

25

30

R² is -CON(Me)₂, -CO₂CH₂CH₂OH, -OCOCH₃, -OCOCH₂CH₂Ph, -OH or a lactam group;

 R^3 is $-CON(Me)_2$, $-CO_2CH_2CH_2OH$, $-OCOCH_3$, $-OCOCH_2CH_2Ph$, -OH or a lactam group when B is $-CH_2-C(R^1R^3)$ - with the proviso that R^2 and R^3 are not the same; and R^4 is $-R^5C(O)P(O)$ R^6R^7 or $-R^5P(O)R^6OC(O)R^7$, wherein R^5 , R^6 and R^7 are selected among same or different aryl groups comprising phenyl, methylphenyl, dimethylphenyl,

WO 00/55212 5

5

10

15

20

25

30

trimethylphenyl, methoxyphenyl, dimethoxyphenyl, trimethoxyphenyl, methylolphenyl, dimethylolphenyl, trimethylolphenyl or styryl radicals, or

PCT/EP00/02539

In the general formula above, -OH denotes a hydroxyl group, Me a methyl group and Ph is a phenyl group. The lactam group typically is a heterocyclic ring structure of 4 to 7 atoms of which at least one is nitrogen. A suitable such lactam group provides a N-vinyl-pyrrolidone structure as one of units A or B on said ethylenic backbone. It is also to be understood that besides the mentioned substituents functional groups for crosslinking can be added to the macromolecule in accordance with conventional methods.

In one advantageous aspect of this embodiment, the photocrosslinkers, R² and R³ according to above are selected so as to form a water-soluble molecule.

Suitable units A and B in the general formula (A)_n(B)_m(C)_p are selected among, but not limited to, N-vinylpyrrolidone (NVP), 2-hydroxyethylmethacrylate, N-N-dimethylacrylamide and vinyl acetate. The vinyl acetate referred to preferably will be hydrolyzed conventionally to vinyl alcohol. It is also referred to Table 1 below in the exemplifying part of the description for a number of specific photocrosslinkers based on such units (or co-monomers) and 4-vinylbenzoyl-diphenylphosphine oxide (VBPO) as a photoinitiating group. Accordingly, VBPO units constitute units C in said general formula above. Some especially suitable water soluble, blue light activated photocrosslinkers according to the present invention comprise NVP together with vinyl acetate units, N,N-dimethylacrylamide units alone or together with 2-hydroxyethylethacrylate units, all

dimethylacrylamide units alone or together with 2-hydroxyethylethacrylate units, all combined with VBPO units. These photocrosslinkers demonstrate high conversion rate (monomer to polymer) and suitably high stability in aqueous solution. This type of photocrosslinkers can be prepared by conventional radical polymerization.

According to another embodiment, the photocrosslinkers described above with general formula can comprise units A, B and C which are siloxane monomer units having a formula

 $-R_aR_bSiO$ -, wherein R_a and R_b in units A and B are selected among lower substituted or unsubstituted alkyl groups, aryl groups and arylalkyl groups. Preferably, at least on of R_a and R_b is an aryl or arylalkylgroup. More preferably R_a and R_b is substituted with one or more fluorine atoms. Alkyl groups in this context means a C_1 to C_{10} alkyl group which is

straight or branched.

10

15

20

25

30

According to a preferred aspect of this embodiment the siloxane units comprising substituents in accordance with:

5 A is $-Si(R^1R^2)$ -O-, B is $-Si(R^1R^3)$ -O- and C is $-Si(R^1R^4)$ -O-, wherein

R¹ is C1 to C6 alkyl; R² is C1 to C6 alkyl or phenyl; R³ is R¹, R² or C1 to C6 fluroalkyl;

R⁴ is -R⁵R⁶C(O)P(O) R⁷R⁸ or -R⁵R⁶P(O)R⁷OC(O)R⁸, wherein R⁵ is a spacing group; R⁶, R⁷ and R⁸ are selected among same or different aryl groups comprising phenyl, methylphenyl, dimethylphenyl, trimethylphenyl, methoxyphenyl, dimethoxyphenyl, trimethylphenyl, trimethylolphenyl, trimethylolphenyl or styryl radicals.

The aliphatic spacing group R⁵ is preferably comprises between one and ten atoms and suitably

The spacing group is $(-CH_2)_n$, wherein n is between 1 and 10.

According to an aspect of the invnetion particularly suitable for the production of ophthalmic lenses, the photocrosslinkers has radicals connected to the polysiloxane backbone such that R¹ is methyl; R² is methyl or phenyl; and R³ is R¹, R² or -CH₂CH₂CF₃. Such polysiloxane photocrosslinkers may have functional acrylic groups in its terminal ends. Polysiloxanes of this type and their applicability and advantages, especially for injectable intraocular lenses, are disclosed in the International Patent Application PCT/EP99/07781 which document herewith is incorporated as a reference.

The present invention further involves a method of forming a macromolecular crosslinked network from a fluid composition comprising photocrosslinkers according to any of the mentioned embodiments by irradiating said composition with light exceeding a wavelength of about 305 nm for a time sufficient to form a solid article. The composition can comprise said

photocrosslinkers at least one copolymerizable vinylic, acrylic or methacrylic monomer,

WO 00/55212 PCT/EP00/02539

or the composition can comprise a polymer provided with functional vinylic, acrylic or methacrylic groups. It would be obvious to the skilled person to combine any such monomers and polymers together with the inventive photocrosslinkers and also, if found advantageous, combining the composition with a conventional crosslinker suitable for the specifically selected composition. It is further to be understood that the constituents of such a composition shall be selected so as be sufficiently compatible to each other and the selected fluid environment, for example depending on if photocrosslinkers having ethylene or polysiloxane backbone are selected.

5

10

15

20

25

30

In an especially advantageous application of the method, a medical device or medical implant, such an ophthalmic lens is produced by means of a conventional molding method wherein the photocrosslinking into a network is a conventional curing process. The inventive method is particularly suitable for producing an intraocular lens by means of injection and subsequent photocrosslinking direct in the capsular bag of eye, from which the natural lens has been surgically removed.

It is also a part of the present invnetion provide an ophthalmically acceptable composition comprising the new photocrosslinkers. Such a composition will typically have a refractive index greater than about 1.39 and a viscosity such that said composition can be injected through standard cannula having a needle of 15 Gauge, or finer. Such a composition can further comprise of any suitable constituents as outlined above that can be a part of the network provided by the subsequent photocrosslinking.

The photocrosslinkers according to the present invention provide for a combination of photoinitiating and crosslinking processes. It is an important feature of the present invention to effect this combination of function by attaching photoactive groups to a polymeric or macromolecular structure. The photoactive groups, when exposed to light of the appropriate wavelength, will undergo photoinduced scission and generating radicals, which are retained on the polymeric or macromolecular structure. These retained radicals then initiate, terminate, or, in some other way participate in the gel forming process that is the objective of the radiation cure of the photomaterial. The use of the inventive photocrosslinkers confers distinct advantages, both chemical and environmental, as compared with the combination of a separate photoinitiator and crosslinker. In a chemical

context the use of a photocrosslinker gives opportunities to produce networks that are more homogeneous than those produced by photocuring conventional photocurable systems. The latter systems, involving as they do, combinations of monomers, have structures dependent on the reactivity ratios of the monomers and crosslinkers. Often, for example, in a coating being manufactured at high rates of production, a crosslinker is selected because of its high reactivity. Disparities in the reactivates of the components of a formulation gives rise to compositional drift, the change of the average unit composition during the course of a polymerization, and this in relation to a reactive crosslinker implies that sections of a network forming later, in the curing process, have a lower crosslink density than sections formed earlier. Improving the homogeneity of crosslinked networks is a subject receiving greater attention as the technical demands imposed on industrial products increases. Homogenous networks have, for example, higher fracture toughness and better optical properties heterogeneous networks. The shrinkage occurring during their formation is more uniform allowing for more precision in castings. The benefits of using a photocrosslinker as a network former, as compared with a combination of photoinitiator and crosslinker, arise because the radical species they produce act as crosslinkers via the polymer chain to which they are attached. Further such radicals are generated throughout the setting phase, their concentration being controlled by the photoinitiating species' quantum efficiency and the intensity of the light, which may be modulated during the setting, in addition to its concentration. This distinction results in the formation of networks having a more controlled and homogeneous structure.

5

10

15

20

25

30

Retaining photoinitiator residues in the network of a medical product, such as a contact lens or a dental filling has desirable physiological implications. Further photocrosslinkers because of their polymeric, or macromolecular, nature are more acceptable, environmentally, than many conventional crosslinkers which are known to cause skin and lung irritation.

Within the context of the present invention, it is possible to substitute a photocrosslinker, either completely, or partially, for a combination of a conventional photoinitiator and a conventional crosslinker. Alternatively, the inventive

WO 00/55212 PCT/EP00/02539

photocrosslinkers can be used in combination with a conventional photoinitiator or a conventional crosslinker, as will be understood by practitioners skilled in formulating systems for crosslinking.

Persons skilled in this art will also appreciate that the inventive photocrosslinkers as described herein for photoactive systems responsive to visible light may be applied equally to systems responsive to UV light, so the present invention is of very general applicability.

5

Detailed and exemplifying description of the invention

Example 1

5 PHOTOCROSSLINKER POLYMER PREPARATIONS

Table 1

photocrosslink ers	VBPO (mole%)	Comonomer 1 (mole%)	Comonomer 2 (mole%)
P31-1	3.5	HEMA(5)	NVP(91.5)
P32-1	3.5	VAc(10)	NVP(86.5)
P40-3	4	DMA(96)	none
P40-4	4	PEMA(96)	none
P41-1	6	DMA(94)	none

The following Examples describe the preparation of P32-1(3), P40-3 & P41-1 (comparison), and P40-4 respectively. In addition examples demonstrating photocrosslinkers of DMA and 4-vinyl-2,6-dimethylcbenzoylphosphine oxide are added (Examples 1E and 1F).

15 Example 1A

Photocrosslinker Copolymer employing N-Vinylpyrrolidone and Vinyl acetate

This preparation, on an 8g monomer scale, used monomers in the molar ratio:
86.5 parts N-vinylpyrrolidone (VP): 10 parts vinyl acetate (Vac): 3.5 parts
vinylbenzoyldiphenylphosphine oxide (VBPO).

Methoxydiphenylphosphine, 0.520g, was weighed to a dried 100ml twin-neck flask, with one neck septum sealed, and coated in aluminium foil to exclude light. Toluene, 3 ml, and a magnetic stir bar were added and the flask flushed with dry nitrogen. The stopcock was briefly removed and 4-vinylbenzoyl chloride, 0.409g, added, the flask being again flushed with dry nitrogen, then placed in a bath at 65°C, with magnetic stirring.

PCT/EP00/02539

After 15 minutes, the other monomers: VP, 6.620g, and Vac, 0.595g, were diluted with a previously prepared solution of azobisisobutyronitrile (AIBN), 0.080g in 8ml toluene, and the mixture injected to the flask and rinsed in with a further 4ml toluene. The polymerization mixture was heated at 65°C with magnetic stirring for 8 hours, yielding a clear pale yellow solution, which was precipitated, in subdued light, to diethyl ether. The supernatant was discarded and the pale sludge-like precipitate taken up in 30ml methanol and reprecipitated to ether as a curdy precipitate. The supernatant was decanted, and the polymer product dried to constant weight under vacuum at 35°C. Yield was 5.751g (72%) of friable pale yellow polymer. Elemental analysis gave 0.65% P, corresponding to 6.9%ww VBPO units (0.209mmol/g), and 10.70% N, corresponding to 84.5%ww VP units, and thus a mean unit mass of 115 Daltons. SEC gave Mn 32,000, Mw 103,000. This implies a number average chain length of ca.280 units, with ca.7 photoactive units per chain.

Example 1B

5

10

15

20

25

30

Photocrosslinker Copolymer employing N-Dimethylacrylamide (I)

In this example, 4-vinylbenzoyldiphenylphosphine oxide (VBPO), 4 mol%, was copolymerized with N,N-dimethylacrylamide (DMA), 96 mol%, on a 6g scale.

Methoxydiphenylphosphine, 0.481g, was weighed to a dried 24x150mm Quickfit tube, and 2.5ml dry toluene added. The tube was then wrapped in aluminium foil to exclude light. 4-Vinylbenzoyl chloride, 0.368g, and a magnetic stir bar were added, and the tube septum sealed, N₂ flushed, and placed in a bath at 65°C with stirring. After 15 minutes a solution of DMA, 5.26g, and AIBN, 0.060g, in toluene, 5ml, was injected by

syringe and rinsed in with a further 3.6ml toluene. The mixture was stirred 6h at 65°C, yielding a viscous orange-yellow solution, which was diluted with methanol and precipitated in diethyl ether. The product was reprecipitated from methanol to ether, and vacuum dessicated at room temperature. Yield, 2.56g (43%) of friable pale yellow polymer, analysis 0.82% P corresponding to 8.8%ww VBPO units (0.265mmol/g). GPC using poly(ethylene glycol) standards gave Mn 25,000; Mw 100,000.

Example 1C

5

10

15

20

25

30

Photocrosslinker Copolymer employing N-Dimethylacrylamide (II)

Example 2B was repeated on a 12 g scale, but with monomer ratio 6 mol% VBPO (2.12 g), 94 mol% DMA (9.89 g), with 0.120 g AIBN, 22.3 ml toluene, and polymerization time extended to 8h at 65°C. The yield was 7.17g (60%) of yellow polymer, analysis 1.49% P corresponding to 16.0 %ww VBPO (0.481mmol/g).GPC gave Mn 12 000 and Mw 88000.

Example 1D

Photocrosslinker Copolymer employing 2-Phenylethyl methacrylate

In this example, 4-vinylbenzoyldiphenylphosphine oxide (VBPO), 4 mol%, was copolymerized with 2-phenylethyl methacrylate (PEMA), 96 mol%, on a 6g scale.

Methoxydiphenylphosphine, 0.271g, was weighed to a dried 24x150mm Quickfit tube, and 2.5ml dry toluene added. The tube was then wrapped in aluminium foil to exclude light. 4-Vinylbenzoyl chloride, 0.204g, and a magnetic stir bar were added, and the tube septum sealed, N₂ flushed, and placed in a bath at 65°C with stirring. After 15 minutes a solution of PEMA, 5.60g, and AIBN, 0.060g, in toluene, 5ml, was injected by syringe and rinsed in with a further 3.6ml toluene. The mixture was stirred 6h at 65°C, yielding a fairly viscous pale yellow solution, which was diluted with chloroform and precipitated to methanol. The product was reprecipitated from chloroform (with THF

WO 00/55212 PCT/EP00/02539

added to clarify the solution), and vacuum dessicated at room temperature. Yield, 4.67g (78%) of friable pale yellow polymer, analysis 0.48% P corresponding to 5.2%ww VBPO units (0.155mmol/g). GPC in THF using polystyrene standards gave Mn 49,300; Mw 108,500.

5

10

15

20

25

30

Example 1E

In this example, 4-vinyl-2,6-dimethylbenzoyldiphenylphosphine oxide (VDMBPO), 4 mol%, was copolymerized with N,N-dimethylacrylamide (DMA), 96 mol%, on a 12g scale.

Methoxydiphenylphosphine, 0.979g, was weighed to a dried flask and 5ml dry toluene added. The flask was wrapped in aluminium foil to exclude light. 4-Viny-2,6-dimethyllbenzoyl chloride, 0.885g, and a magnetic stir bar were added, and the flask septum sealed, N₂ flushed, and placed in a bath at 65°C with stirring. After 15 minutes a solution of DMA, 10.426g, and AIBN, 0.121g, in toluene, 9.3ml, was injected by syringe and rinsed in with a further 8ml toluene. The mixture was stirred 8h at 65°C, yielding a viscous pale yellow solution, which was diluted with 20ml ethanol and precipitated in diethyl ether. The product was reprecipitated from ethanol to hexane, and vacuum dessicated at room temperature. Yield, 8.53g (71%) of friable pale yellow polymer, analysis 0.58% P corresponding to 6.75%ww (1.95mol%) VDMBPO units (0.187meq/g).

The polymer was water soluble and showed excellent hydrolytic stability; tested over the course of a year the product showed no measurable decrease in photoactivity. GPC gave Mn 6,000; Mw 26,000.

Example 1F

Example 1E was repeated employing VDMBPO 5 mol and DMA 95 mol%. Yield was 43% of pale yellow polymer, analysis 0.86% P corresponding to 10.0%ww (2.97mol%) VDMBPO units (0.278meq/g). GPC gave Mn 7,000; Mw 32,500.

Example 1G

Example 1F was repeated employing VDMBPO 5 mol% and DMA 95 mol%. Yield was 55% of pale yellow polymer, analysis 0.73% P corresponding to 8.5%ww (2.49mol%) VDMBPO units (0.236meq/g). GPC gave Mn 5,600; Mw 24,000.

PCT/EP00/02539

EXAMPLE 1H

5

10

15

20

25

1,3,5-trimethylbenzoyl-styrylphenylphosphine oxide (TMBSPO), 4 mol%, was copolymerized with N,N-dimethylacrylamide (DMA), 96 mol%, on a 12g scale.

First methoxystyrylphenylphosphine, 0.800g, was weighed to a dried flask and 5ml dry toluene added. The flask was wrapped in aluminium foil to exclude light. 1,3,5-trimethyllbenzoyl chloride, 1.061g, and a magnetic stir bar were added, and the flask septum sealed, N₂ flushed, and placed in a bath at 65°C with stirring. After 15 minutes subsequently a solution of DMA, 10.241g in 15 mL of toluene, and AIBN, 0.120g in 5.0 mL of toluene, were injected by syringe. The mixture was stirred 8h at 65°C, yielding a viscous pale yellow solution, which was diluted with 20ml ethanol and precipitated in diethyl ether. The product was reprecipitated from ethanol to diethylether, and vacuum dessicated at room temperature. Yield was 55% of pale yellow polymer, analysis 0.87% P corresponding to 10.4%ww (2.40mol%) TMBSPO units (0.227meq/g). GPC gave Mn 9,000; Mw 35,000.

The experiment was repeated employing TMBSPO 2.5 mol% and DMA 97.5 mol%. Yield was 79% of pale yellow polymer, analysis 0.43% P corresponding to 5.1%ww (1.19mol%) TMBSPO units (0.112meq/g). GPC gave Mn 15,000; Mw 94,000.

Finally, the experiment was repeated employing TMBSPO 4 mol% and PEMA 96 mol%. Yield was 68% of friable pale yellow polymer, analysis 0.56% P corresponding to 5.4%ww TMBSPO units (0.149mmol/g). GPC gave Mn 19,000 and Mw 165,000.

WO 00/55212 15

Example 2

The following examples refer to photopolymerization including the inventive photocrosslinkers compared with photopolymerization with commercially available photoinitiators.

PCT/EP00/02539

Example 2A

5

10

15

20

25

The state of the art photoinitiator Irgacure 1800 (ex Ciba-Geigy, 10.0 mg) was dissolved, in subdued light, in 2-hydroxyethylmethacrylate (HEMA, ophthalmic grade ex Polysciences, 970 mg) and 1,6-dihydroxyhexane diacrylate (HDDA, ex, 20.0 mg), and a 10.0 mg sample pipetted into an open DSC aluminum sample pan. The sample pan, covered with a cover-slip of thin glass, was placed in the sample position of the head of a TA Instruments Differential Photocalorimeter (DPC). The temperature of the head was allowed to stabilize under N₂ at 37°C (or in some cases 23°, and the sample irradiated with blue light at an intensity of 8-9 mWcm⁻².

The area of the polymerization exotherm was determined by conventional computation and the Jg^{-1} of monomer calculated. From the Jg^{-1} the percentage conversion of monomer to polymer was calculated using a literature value for the latent heat of polymerization of the monomer, ΔH_p . The findings are collected in Table 2.

Using the same composition as was used for the DPC tests discs (2mm thick x 16mm diameter) of polyHEMA were cast in PTFE casting cells. About 500mg of the mixture of monomers and photoinitiator were introduced into the cell which was closed with a glass slide and irradiated with blue light, either from a blue light dental gun, or from a proprietary light generator (Efos Novacure), for 3min.

Example 2B

The method described in Example 2A was repeated using with the state of the art photoinitiator Lucirin TPO (ex BASF, 10.0mg) instead of Irgacure 1800.

Example 2C

5

10

15

20

25

The method described in Example 2A was repeated using HEMA (900.0mg), no HDDA, and, instead of Irgacure 1800, a photocrosslinker according to the present invention (P31-1, see Table 1. for composition, 100.0mg)

Example 2D

The method described in *Example 2C* was repeated using a photocrosslinker according to the present invention (P32-1, see Table 1. for composition, 100.0mg).

Example 2E

The method described in *Example 2C* was repeated using a photocrosslinker according to the present invention (P40-3, see Table 1. for composition, 100.0mg).

Example 2F

The method described in *Example 2C* was repeated using a photocrosslinker according to the present invention (P41-1, see Table 1. for composition, 100.0mg).

Example 2G

The method described in *Example 2A* was repeated using a photocrosslinker according to the present invention (P32-1, 100.0mg) instead of Irgacure 1800, HEMA (600.0mg),

WO 00/55212 PCT/EP00/02539

17

water(300mg) and no HDDA.

Example 2H

5 As Example 2G, using P40-3 (50.0mg) to replace P32-1, and HEMA (500.0mg), water (450.0mg).

Example 2I

10 As *Example 2H* using P41-1(50.0mg) to replace P40-3.

Example 2J

The method described in *Example 2A* was repeated using 2-phenylethylacrylate (PEA, 990.0mg, ex Polymer & Dajac Laboratories) instead of HEMA and no HDDA.

Example 2K

15

20

25

30

The method described in *Example 2A* was repeated using instead of Irgacure 1800 a photocrosslinker (P40-4, see Table 1. for composition, 100.0mg) and PEA (900mg) but no HDDA or HEMA.

The % conversions of monomer to polymer in Table 2., Examples 2A and 2B, the commercial photoinitiators, and the photocrosslinkers, Examples 2C to 2E, are comparable showing that the photocrosslinkers behave as efficient photoinitiators, especially giving regard to the concentrations of photoactive species, the acylphosphine oxide (shown in Table 1) Further when these findings are compared with Examples 2G to 2I the comparison reveals that correctly designed photocrosslinkers (Examples 2H and 2I) exhibit 100% conversions in solution in water.

For the 2-phenylethylacrylate monomer the photocrosslinker P40-4, based on 2-phenylethylmethacrylate, is also very efficient as a photoinitiator (comparing Examples 2J and 2K) giving 100% conversion of monomer to polymer gel, as judged from the heat of polymerization (based on experimentally determined ΔH_p).

Table 2. A comparison of the completeness of blue light photopolymerisation of HEMA, HEMA in water, & PEA using low molecular weight photoinitiators and photocrosslinkers

Ex.	Formulation ^a	Heat of Polym.	Polym.	Conversion
No.	(wt%)[m.eq photoactive	(Jg ⁻¹)	time (min)	%
	ingredient ^b /100g]			
2A	HEMA(97)HDDA(2)I1800(1)[0.51	351	3.5	80
]			
2B	HEMA(97)HDDA(2)TPO(1)[2.9]	357	1.5	82
2C	HEMA(90)P31-1(10)[2.0]	308	6	70
2D	HEMA(90)P32-1(10)[2.3]	309	3	71
2E	HEMA(90)P40-3(10)[2.7]	307	2	70
2F	HEMA(90)P41-1(10)[4.8]	361	1.5	82
2G	HEMA(60)H ₂ O(30)P32-1(10)[2.3]	>275	>7	>63
2H	HEMA(50)H ₂ O(45)P40-3(5)[1.4]	452	7	100(approx.)
2I	HEMA(50)H ₂ O(45)P41-1(5)[2.4]	454	6	100(approx.)
2J	PEA(99)I1800(1)[0.51]	455	2.5	100(approx.)
2K	PEA(90)P40-4(10)[1.6]	456	3.5	100(approx.)

^aPhotocrosslinkers, and monomer HEMA, as Table 1.: commercial photoinitiators

5

PCT/EP00/02539

I1800, bis(2,6-dimethoxybenzoyl)-trimethylpentylphosphine oxide (25%) + 1-hydroxycyclohexylphenylketone (75%)(Irgacure 1800 ex Ciba-Geigy) TPO, 1,3,5-trimethylbenzoyldiphenylphosphine oxide (Lucirin TPO ex BASF): monomer PEA, 2-phenylethylacrylate: crosslinker HDDA, hexan-1,6-diol diacrylate bm.eq. of acylphosphine oxide/100g of formulation.

Example 3

Examples for Gelation Tests:

10

5

Examples 3A and 3B

Using the formulations described above in Examples 2J and 2K and the casting method described in Example 2A discs were prepared.

15

20

Example 3C

Irgacure 2959 (ex Ciba-Geigy, 10.0 mg) was dissolved, in subdued lighting, in 2hydroxyethylmethacrylate (HEMA, ophthalmic grade ex Polysciences, 550.0 mg) and water (440.0 mg). Test discs (2mm thick x 16mm diameter) of polymer were cast in PTFE casting cells. About 800mg of the mixture of monomers and photoinitiator were introduced into the cell which was closed with a glass slide and irradiated with light from a proprietary light generator (Efos Novacure), for 3 min.

Example 3D 25

As Example 3C with Irgacure 2959 (30.0 mg), HEMA (540.0 mg) and water (430.0 mg).

Example 3E

As Example 3C with P40-3 (100.0 mg) replacing Irgacure 2959, HEMA (500.0 mg), and 30

water (400.0mg).

Example 3F

As Example 3C with P41-1 (70.0mg) replacing Irgacure 2959, HEMA (510.0mg), and water (420.0mg).

Example 3G

As Example 4C with P40-4 (50.0mg) replacing Irgacure 1800, PEA (900.0mg), and additional crosslinker, CE7-2 (2-phenylethylmethacrylate/2-hydroxy-3-acryloxypropylmethacrylate copolymer [0.9:0.1 mole ratio], 50.0mg).

Example 3H

15

25

30

As *Example 3G* with Irgacure 1800 (21.0mg) replacing P40-4, PEA (940.0mg), and crosslinker, CE7-2 (2-phenylethylmethacrylate/2-hydroxy-3-acryloxypropylmethacrylate copolymer [0.9:0.1 mole ratio], 60.0mg).

20 Example 3I

As Example 3B with PEA (750.0mg), and photocrosslinker, P40-4 (250.0mg).

- In Table 3. are collected the tests made to check the gelation of the different formulations. Where a composition is gelled it does not dissolve in solvent, but swells to an extent related to its crosslink density. Uncrosslinked (sol) polymers dissolve.
 - Examples of monomers photopolymerized with conventional photoinitiators of low molecular weight, nos. 4A, 4C and 4D dissolved readily in the appropriate solvent, water for polyHEMA, and acetone for polyPEA. Example no. 4B showed an intermediate

behavior and dissolved partially in acetone leaving some residual gel. Increasing the proportion of photocrosslinker to 25% (3.9m.eq. of acylphosphine oxide, Example 4I or, adding separate crosslinker, CE7-2 (Example 4G, see below) produced acetone insoluble gel.

5

10

20

CE7-2, a polyPEMA which is unsaturated and PEA miscible, being a copolymer of 2-phenylethylmethacrylate/2-hydroxy-3-acryloxypropylmethacrylate [0.9:0.1 mole ratio], was employed as a supplementary crosslinker to the photocrosslinker P40-4, in Examples 4G and 4H. That CE7-2 is an effective cross-linker for photopolymerized PEA is demonstrated in example no. 4H, where in combination with Irgacure 1800 it also yields a gelled product upon irradiation. The products upon irradiation are transparent gelled elastomers of high refractive index (RI>1.54), similar in properties to PEA/PEMA copolymers.

Examples 3E and 3F which used photocrosslinkers to replace conventional photoinitiators for HEMA/water compositions were gelled and did not dissolve in water, unlike examples 4D and 4E.

Table 3. Gelation tests on photopolymerized materials, shewing effect of photocrosslinkers

Ex. No.	Formulation (wt%) ¹ Effect of Solvent		Comments	
3A	PEA(99)I1800(1)	Dissolves in Acetone	Not Crosslinked	
3B	PEA(90)P40-4(10)	Dissolves & Swells in Acetone	Lightly Crosslinked	
3C	HEMA(55)H ₂ O(44)I2959 ² (1)	Dissolves in Water	Not Crosslinked	
3D	HEMA(54)H ₂ O(43)I2959(3)	Dissolves in Water	Not Crosslinked	
3E	HEMA(50)H ₂ O(40)P40-3(10)	Swells in Water	Crosslinked Gel	

WO 00/55212 PCT/EP00/02539

22

3F	HEMA(51)H ₂ O(42)P41-1(7)	Swells in Water	Crosslinked Gel
3G	PEA(90)CE7-2(5)P40-4(5)	Swells in Acetone	Crosslinked Gel
3H	PEA(94)CE7-2(6)I1800(2.1)	Swells in Acetone	Crosslinked Gel
31	PEA(75)P40-4(25)	Swells in Acetone	Crosslinked Gel

¹ See Tables 1. & 2., and text for an explanation of materials codes ²I2959, 2-hydroxy-4'-hydroxyethoxy-2-propiophenone (UV curing)

The crosslinked structure of the water swollen hydrogels (4E and 4F) was confirmed by stress relaxation tests.

Example 4

10 The method described in Example 2A was repeated using the following formulations:

Formulations (wt %)

- 4A. water (80)/photocrosslinker according Example 1F(20)
- 4B. water (80)/photocrosslinker according Example 1H(20)
- 4C. HEMA(45)/water(35)/photocrosslinker according to Example 1C(20)
 - 4D. HEMA(45)/water(35)/photocrosslinker according to Example 1F(20)
 - 4E. HEMA(45)/water(35)/photocrosslinker according Example 1H(20)
 - 4F. HEMA(45)/H20(35)
- The coherent and clear gels resulted from the irradiation of the formulations with blue light, and their relative crosslinked nature was characterized in two ways. The first method was to measure the stress relaxation of the networks, using a Rheometrics RDA-11, and the second method used was to measure the smiling of the gels in water.
- 25 Stress Relaxation Tests-Method

The RDA-11 was set up with the 16mm gelled sample damped between parallel plates of 25 mm, heated to 35°C, and a strain of 30% applied. During the test the instrument measures the instantaneous stress necessary to maintain 35%, and plots the instantaneous shear modulus (Gi) against log t. In Table 3, the percentage reductions in the modulus Gi for the formulations 4A to 4F between i = 10 and 100 s are compared as (G(10) - G(100)/G(10))x100 both before and after swelling in water. The results confirm that the photocrosslinked gel possess coherent network structures.

10

5

Table 3. Average Stress Relaxations of Photocrosslinked Formulations 4A to 4F, measured at 35°C

Average stress relaxation	4A	4B	4C	4D	4E	4F
Before swelling- disc1	No result		4.9	3.4	No result	Not measurabl e
Before swelling- disc2	9.3		15.5	10.9	29.4	
After swelling-disc1			21.4	17.4		
After swelling- disc2	19.1		19.0	13.0	12.4	Not measurabl e

15 Swelling Test Method

Samples discs from formulations 4A through 4F were weighed, immersed in water for 24 hours at 20°C, dried, and reweighed. Table 4 compares the water absorbed by each formulation on a percentage basis.

WO 00/55212 PCT/EP00/02539

24

Table 4.

Percentage water absorbed at 25°C by photocrosslinked gels

5

10

Water absorbed (wt %)	4A	4B	4C	4D	4E	4F
Disc1			222	191		Not measurabl e
Disc2	130	219	230	172	255	Not measurabl e

It was observed that upon irradiation with blue light, the formulation prepared without a crosslinker (4F) did not gel and that no discs suitable for any measurements were formed. Satisfactory discs were prepared from other formulations and stress relaxation results and the water absorption results were in agreement with the sequence: most highly crosslinked 4A>4C<4E least highly crosslinked.

Claims

1. Macromolecular photocrosslinkers having a general formula

- 5 $(A)_n(B)_m(C)_p$, wherein
 - (i) A, B and C are units of substituted ethylene or siloxane groups in the macromolecular structure;
 - (ii) C carries a photoactive groups;
- 10 (iii) n = 0.98 mole %, m = 0.98 mole %, n+m = 50.98 mole % and p = 0.5.50 mole %;

and when said photoactive groups are exposed to light of determined wavelengths above 305 nm, radicals are generated and retained on the macromolecular photocrosslinkers and reacting so as to accomplish a crosslinked network structure.

- 15
- 2. Photocrosslinkers according to claim 1 characterized in that said photoactive group comprises a phosphine oxide.
- 3. Photocrosslinkers according to claim 2 characterized in that the photoactive group is an acyl- or aroyl phosphine oxide.
 - 4. Photocrosslinkers according to claim 3 characterized in that the photoactive group is linked to the ethylene groups of units C by a linking group comprising a phenylene group, said phenylene group being optionally substituted.
- 25
- 5. Photocrosslinkers according to claim 1, wherein the ethylene units A, B, C of the macromolecular structure comprises substituents in accordance with:
- $A = -CH_2 C(R^1R^2)$ -, $B = -CH_2 C(R^1R^3)$ -, $C = -CH_2 C(R^1R^4)$ -, wherein

R¹ is hydrogen or methyl;

R² is -CON(Me)₂, -CO₂CH₂CH₂OH, -OCOCH₃, -OCOCH₂CH₂Ph, -OH or a lactam group;

 R^3 is $-CON(Me)_2$, $-CO_2CH_2CH_2OH$, $-OCOCH_3$, $-OCOCH_2CH_2Ph$, -OH or a lactam group when B is $-CH_2-C(R^1R^3)$ - with the proviso that R^2 and R^3 are not the same unless R^2 and R^3 is -OH; and

R⁴ is -R⁵C(O)P(O) R⁶R⁷ or -R⁵P(O)R⁶OC(O)R⁷, wherein R⁵, R⁶ and R⁷ are selected among same or different aryl groups comprising phenyl, methylphenyl, dimethylphenyl, trimethylphenyl, methoxyphenyl, dimethoxyphenyl, trimethoxyphenyl, methylolphenyl, dimethylolphenyl, trimethylolphenyl or styryl radicals.

- 6. Photocrosslinkers according to claim 5, wherein R² and R³ are selected so as to form a water-soluble molecule.
- 7. Photocrosslinkers according to claim 5, wherein said lactam units together with units A or B constitute N-vinylpyrrolidone units.
- 8. Photocrosslinkers according to claim 5, wherein at least one of R² and R³ is hydroxyl.
- 9. Photocrosslinkers according to claim 5, wherein A is N-vinylpyrrolidone, B is vinyl alcohol.
- 10. Photocrosslinkers according to claim 1 or 5 provided with functional groups for crosslinking.
- 11. Photocrosslinkers according to claim 10 provided with functional groups selected among vinylic, acrylic and methacrylic groups.
- 12. Photocrosslinkers according to claim 1 characterized in that units A, B and C are

siloxane monomer units of a general formula -R_aR_bSiO-, wherein R_a and R_b in units A and B are selected among lower substituted or unsubstituted alkyl groups, aryl groups and

arylalkyl groups.

13. Photocrosslinkers according to claim 12, wherein at least on of R_a and R_b is an aryl or arylalkylgroup.

27

- 14. Photocrosslinkers according to claim 13, wherein at least one of R_a and R_b is substituted with one or more fluorine atoms.
- 15. Photocrosslinkers according to claim 1, wherein units A, B, C are siloxane units comprising substituents in accordance with:

A is $-Si(R^1R^2)$ -O-, B is $-Si(R^1R^3)$ -O- and C is $-Si(R^1R^4)$ -O-, wherein

R¹ is C1 to C6 alkyl; R² is C1 to C6 alkyl or phenyl; R³ is R¹, R² or C1 to C6 fluroalkyl;

R⁴ is -R⁵R⁶C(O)P(O) R⁷R⁸ or -R⁵R⁶P(O)R⁷OC(O)R⁸, wherein R⁵ is a spacing group; R⁶, R⁷ and R⁸ are selected among same or different aryl groups comprising phenyl, methylphenyl, dimethylphenyl, trimethylphenyl, methoxyphenyl, dimethoxyphenyl, trimethoxyphenyl, methylolphenyl, trimethylolphenyl or styryl radicals.

- 16. Photocrosslinkers according to claim 15, wherein R⁵ is aliphatic spacing group comprising between one and ten atoms.
- 17. Photocrosslinker according to claim 16, wherein said spacing group is (-CH₂)_n, wherein n is between 1 and 10.
- 18. Photocrosslinkers according to claim 15, wherein R^1 is methyl; R^2 is methyl or phenyl; R^3 is R^1 , R^2 or $-CH_2CH_2CF_3$.

- 19. Photocrosslinkers according to claim 15 having functional acrylic groups in its terminal ends.
- 20. A method of forming a macromolecular crosslinked network from a composition comprising a photocrosslinker according to any of claims 1 to 19 by irradiating said composition with light exceeding a wavelength of about 305 nm for a time sufficient to form a solid article.
- 21. A method forming a macromolecular crosslinked network from a composition comprising a photocrosslinker according to any of claims 1 to 11 and at least one copolymerizable vinylic, acrylic or methacrylic monomer.
- 22. A method according to claim 20, wherein said composition further comprises a polymer provided with functional vinylic, acrylic or methacrylic groups.
- 23. A method according to claim 22, wherein said polymer has a backbone of ethylene units.
- 24. A method according to claim 22, wherein said polymer is a polysiloxane.
- 25. A method according to any of claims 20 to 24, wherein an ophthalmic lens is produced.
- 26. A method according to claim 25, wherein an intraocular lens is produced in the capsular bag of the eye.
- 27. An ophthalmically acceptable composition comprising photocrosslinkers according to any of claims 1 to 19, having a refractive index greater than about 1.39 and a viscosity such that said composition can be injected through standard cannula having a needle of 15 Gauge, or finer.

28. The use of photocrosslinkers according to any of claims 1 to 19 in an

ophthalmologically acceptable composition for injection into the capsular bag of the eye.

INTERNATIO SEARCH REPORT

int. Itonal Application No

PCT/EP 00/02539 A CLASSIFICATION OF SUBJECT MATTER IPC 7 C08F8/00 C080 G02B1/04 C08G77/38 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) COSF COSG GO2B IPC 7 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Bectronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Category * L. ANGIOLINI: "POLYMERIC PHOTOINITIATORS 1-14X BEARING SIDE-CHAIN BENZOYLDIPHENYLPHOSPHINOXIDE MOIETIES FOR UV CURABLE COATINGS" JOURNAL OF APPLIED POLYMER SCIENCE, vol. 51, no. 1, 3 January 1994 (1994-01-03), pages 133-143, XP000464122 NEW YORK, US page 133 -page 143 WO 92 09644 A (BAUSCH & LOMB INC.) 1-32 Υ 11 June 1992 (1992-06-11) page 6, line 1 - line 21; claims 1-30 WO 92 07885 A (BIOCOMPATIBLES LTD.) 1 - 32Y 14 May 1992 (1992-05-14) claims 1-16 -/--Further documents are listed in the continuation of box C. Patent family members are listed in annex. X * Special categories of cited documents : "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the *A* document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the International "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone " document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-*O* document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. other means "P" document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 16/08/2000 4 August 2000 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040. Tx. 31 651 epo nl, Permentier, W Fax: (+31-70) 340-3016

1

INTERNATIO

SEARCH REPORT

Int ational Application No PCT/EP 00/02539

		PC1/EP 00/02539		
(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT ategory * Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No.				
Category *	Citation of document, with indication, where appropriate, of the relevant passages	resevant to claim No.		
Y	US 5 661 195 A (R. CHRIST) 26 August 1997 (1997-08-26) column 7, line 17 - line 34; claims 1-14	1-32		
Y	WO 99 08135 A (ALCON LABORATORIES, INC.) 18 February 1999 (1999-02-18) page 4, line 5 - line 14 page 5, line 4 - line 30 page 6, line 2 - line 14 page 7, line 7 - line 21 page 7, line 32 -page 8, line 7 page 9, line 10 - line 25; claims 1-15	1-32		
A	WO 99 08136 A (ALCON LABORATORIES, INC.) 18 February 1999 (1999-02-18) claims 1-20	1		
A	DE 17 95 565 A (TONDEO-WERK ADOLF NOSS) 27 January 1972 (1972-01-27) the whole document	15		
A	WO 96 31547 A (CIBA-GEIGY AG) 10 October 1996 (1996-10-10) claims 1-32	15		
A	US 4 872 877 A (J. TIFFANY) 10 October 1989 (1989-10-10) the whole document	15		
A	EP 0 611 786 A (F. HOFFMANN-LA-ROCHE AG) 24 August 1994 (1994-08-24) page 2, line 44 - line 54; claims 1-6	1,15		

Information on patent family members

Intl. Ional Application No PCT/EP 00/02539

Patent document cited in search repo	rt	Publication date		atent family nember(s)	Publication date
WO 9209644	A	11-06-1992	US AT BR CA CN DE DE ES HK JP KR US	5219965 / 144996 9107041 / 2095045 / 1061785 / 69123059 (69123059 / 0559809 / 2096067 / 1006464 / 6503373 / 184239 / 5364918 / 5525691 /	15-11-1996 28-09-1993 28-05-1992 A,B 10-06-1992 12-12-1996 T 05-06-1997 A 15-09-1993 T 01-03-1997 A 26-02-1999 T 14-04-1994 B 15-05-1999 A 15-11-1994
WO 9207885	Α	14-05-1992	AT DE DK EP ES GR HK JP JP JP SG	146488 69123756 69123756 555295 0555295 2094824 3022397 53297 3009356 9020814 2593993 6502200 43188	30-01-1997 03-04-1997 16-06-1997 18-08-1993 1 01-02-1997 30-04-1997 02-05-1997 14-02-2000 14-02-2000 14-01-1997 15 26-03-1997 10-03-1994
US 5661195	A	26-08-1997	US US US US	5494946 5376694 5236970 5869549	A 27-12-1994 A 17-08-1993
WO 9908135	A	18-02-1999	US AU EP	6015842 / 8396398 / 1002243 /	A 01-03-1999
WO 9908136	A	18-02-1999	US AU CN EP	5891931 8396498 1251174 1002244	4 01-03-1999 Γ 19-04-2000
DE 1795565	Α	27-01-1972	NONE		
WO 9631547	A	10-10-1996	AU CA EP JP NO ZA	5147796 / 2215144 / 0819140 / 11503183 / 974582 / 9602661 /	A 10-10-1996 A 21-01-1998 T 23-03-1999 A 12-11-1997
US 4872877	Α	10-10-1989	US	4872878	A 10-10-1989
EP 611786	A	24-08-1994	CN CN DE DE	1096807 / 1091458 / 59403063 59408097	A,B 31-08-1994 D 17-07-1997

information on patent family members

inte Jonal Application No PCT/EP 00/02539

Patent document cited in search report		Publication date		atent family member(s)	Publication date
EP 611786	A		EP	0611981 A	24-08-1994
			HK	1007196 A	01-04-1999
			JP	2543666 B	16-10-1996
			JP	6289374 A	18-10-1994
			JP	6287453 A	11-10-1994
		•	US	RE36625 E	21-03-2000
			ÜS	5539074 A	23-07-1996
			ÜS	5602661 A	11-02-1997

INTERNATIONAL SEARCH REPORT

done) Application No Int. PCT/EP 00/02539

A CLASSIFICATION OF SUBJECT MATTER
IPC 7 C08F8/00 C08G77/38 G02B1/04

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 COSF COSG GO2B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

Category "	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	L. ANGIOLINI: "POLYMERIC PHOTOINITIATORS BEARING SIDE-CHAIN BENZOYLDIPHENYLPHOSPHINOXIDE MOIETIES FOR UV CURABLE COATINGS" JOURNAL OF APPLIED POLYMER SCIENCE, vol. 51, no. 1, 3 January 1994 (1994-01-03), pages 133-143, XP000464122 NEW YORK, US page 133 -page 143	1-14
Y	WO 92 09644 A (BAUSCH & LOMB INC.) 11 June 1992 (1992-06-11) page 6, line 1 - line 21; claims 1-30	1-32
Y	WO 92 07885 A (BIOCOMPATIBLES LTD.) 14 May 1992 (1992-05-14) claims 1-16	1-32

Further documents are fleted in the continuation of box C.	Patent family mombers are listed in annex.
"Special categories of cited documents: "A" document defining the general state of the last which is not considered to be of particular relevance. "E" earlier document but published on or after the international filling date. "L" document which may throw doubts on priority claim(s) or which is ched to establish the publication date of shother citation or other special reason (as apecified). "O" document referring to an oral disclosure, use, exhibition or other means. "P" document published prior to the international filling date but later than the priority date cialmed.	"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention. "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document le taken alone cannot be considered to involve an inventive step when the document is combined with one or more other such document is combined with one or more other such documents, such combination being obvious to a person ektiled in the art. "&" document member of the asme patent family
Date of the actual completion of the international search	Date of mailing of the international search report
4 August 2000	16/08/2000
Name and mailing eddress of the ISA	Authorized officer
European Patent Office, P.B. 5918 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo rd. Fax: (+31-70) 340-3016	Permentier, W

Form PCT/ISA/210 (second sheet) (July 1992)

1

INTERNATIONAL SEARCH REPORT

Alonal Application No. PCT/EP 00/02539

		PC1/EP 00/02539
	INTO DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to dairn No.
Category *	Citation of document, with indication, where appropriate, of the relevant passages	neterality Canti No.
Y	US 5 661 195 A (R. CHRIST) 26 August 1997 (1997-08-26) column 7, line 17 - line 34; claims 1-14	1-32
Y	WO 99 08135 A (ALCON LABORATORIES, INC.) 18 February 1999 (1999-02-18) page 4, line 5 - line 14 page 5, line 4 - line 30 page 6, line 2 - line 14 page 7, line 7 - line 21 page 7, line 32 -page 8, line 7 page 9, line 10 - line 25; claims 1-15	1-32
A	WO 99 08136 A (ALCON LABORATORIES, INC.) 18 February 1999 (1999-02-18) claims 1-20	- 1
A	DE 17 95 565 A (TONDEO-WERK ADOLF NOSS) 27 January 1972 (1972-01-27) the whole document	15
A	WO 96 31547 A (CIBA-GEIGY AG) 10 October 1996 (1996-10-10) claims 1-32	15
A	US 4 872 877 A (J. TIFFANY) 10 October 1989 (1989-10-10) the whole document	15
A	EP 0 611 786 A (F. HOFFMANN-LA-ROCHE AG) 24 August 1994 (1994-08-24) page 2, line 44 - line 54; claims 1-6	1,15
-		
•		
•		
		,

Form PCT/ISA/210 (commutation of second sheet) (July 1882)

* '01 09/14 12:19 FAX #46 8 6954278

INTERNATIONAL SEARCH REPORT



→ DINSMORE

Information on patent family members

ional Application No PCT/EP 00/02539

		· · · · · · · · · · · · · · · · · · ·			00/02539
Patent document cited in search report		Publication date		atent family member(s)	Publication date
WO 9209644	A.	11-06-1992	US	5219965 A	15-06-1993
WU 3203077	Α.	11 00 1932	ĀŤ	144996 T	15-11-1996
			BR	9107041 A	28-09-1993
			CA	2095045 A	28-05-1992
			CN	1061785 A,B	10-06-1992
			DE	69123059 D	12-12-1996
					05-06-1997
			DE		
		•	EP	0559809 A	15-09-1993
			ES	2096067 T	01-03-1997 26-02-1999
			HK	1006464 A	
			JP	6503373 T	14-04-1994
			KR	184239 B	15-05-1999
			UŞ	5364918 A	15-11-1994
			US	5525691 A	11-06-1996
WO 9207885	A	14-05-1992	AT .	146488 T	15-01-1997
			DE	69123756 D	30-01-1997
			DE	69123756 T	03-04-1997
			DK	555295 T	16-06-1997
			EP	0555295 A	18-08-1993
			ES	2094824 T	01-02-1997
•			GR	3022397 T	30-04-1997
			HK	53297 A	02-05-1997
			JP	3009356 B	14-02-2000
			JP	9020814 A	21-01-1997
			JP	2 59399 3 B	26-03-1997
			JP	6502200 T	10-03-1994
			SG	43188 A	17-10-1997
US 5661195	Α	26-08-1997	us	5494946 A	27-02-1996
			บร	5376694 A	27-12-1994
			UŞ	5236970 A	17-08-1993
			US 	5869549 A	09-02-1999
WO 9908135	A	18-02-1999	US	6015842 A	18-01-2000
			AU	8396398 A	01-03-1999
		·	EP	1002243 A	24-05-2000
WO 9908136	Α	18-02-1999	US	5891931 A	0604-1999
			AU	8396498 A	01-03-1999
			CN	1251174 T	19-04-2000
			EP EP	1002244 A	24-05-2000
DE 1795565	A	27-01-1972	NONE	E	
WO 9631547	A	10-10-1996	AU	5147796 A	23-10-1996
			CA	2215144 A	10~10~1996
	2		EP	0819140 A	21-01-1998
			JP	11503183 T	23-03-1999
			NO	974582 A	12-11-1997
			ZA	9602661 A	04-10-1996
US 4872877	Α	10-10-1989	US	4872878 A	10-10-1989
	A	24-08-1994	CN	1096807 A	28-12-1994
EP 611786					
EP 611786	•		CN	1091458 A,B	31-08-1994
EP 611786	•		CN DE	1091458 A,B 59403063 D	31-08-1994 17-07-1997



INTERNATIONAL SEARCH REPORT

information on patent tamily members

PCT/EP 00/02539

Patent document		Publication date	Patent family		Publication
cited in search report			member(s)		date
EP 611786	A		EP HK JP JP JP US US	0611981 A 1007196 A 2543666 B 6289374 A 6287453 A RE36625 E 5539074 A 5602661 A	24-08-1994 01-04-1999 16-10-1996 18-10-1994 11-10-1994 21-03-2000 23-07-1996 11-02-1997